



# **ATLAS INTEGRATED FINANCE LIMITED**

## **Portfolio Manager**

SEBI Registration No:INP000000530

**Registered Office:**602, Raheja Chambers ,Free Press Journal Marg, Nariman Point,Mumbai-400021

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# **APPLICATION FORM**

SEBI REG. NO. NSE : CASH INZ000166836; FO INZ000166836 | BSE : CASH INZ000166836; FO INZ000166836  
PMS : INP000000530 | AMFI : ARN0770 | CDSL : IN-DP-CDSL-465-2008

## Checklist for PMS Application Form

### List of Documents to be submitted - Mandatory

(Self-certified copies of the following documents are required after due verification with the originals)

#### For Individual /HUF

1. Passport size photograph. Signed across on the same.
2. Proof of Demat and bank account.
3. Photo copy of PAN card and Aadhaar card.
4. Photo copies of Passport/Election ID card/ Driving License ( any two)
5. Photo copy of Bank Passbook/ Ration card/IT return/Electricity Bills/Telephone bills/Municipal Tax bills (any one of them, not more than 2 months old.)
6. Photo copy of cancelled blank cheque.

#### For Proprietorship Firm

1. Same as above.
2. Photo copy of Pan Card/ Aadhaar card.

#### For Partnership Firm

1. Certified true copy of the registered Partnership Deed.
2. Copy of Passport / Election ID/ Aadhaar Card/Driving License of Partner/s.
3. A passport size photograph of the partners.
4. NOC letters from all partners.
5. Photocopy of PAN Card of Partnership firm.
6. Photocopy of PAN Card of authorized signatories.

#### For Corporates

1. Copy of Passport/ Election ID or Driving License of Director/ Authorised Signatory.
2. Certified true copy of Certificate of Incorporation and Memorandum and Articles of Association.
3. List of Directors.
4. Certified true copy of Annual Reports and Annual return for last 3 years.
5. A passport size photograph of the Director/Authorised Signatory.
6. Proof of Demat and bank account.
7. Net worth certificate by a practicing Chartered Accountants.
8. Certified true copy of a resolution passed by the Board of Directors.
9. Shareholding pattern of the Directors duly certified.
10. Photo copy of PAN Card of the company and all the authorized signatories.

#### Note:-

- Information tendered herein shall be kept confidential unless required by the Exchange, SEBI or any statutory body or authority or except with the express permission of client.
- Original documents of the photocopies will be required for verification at the time of submission of the application.

# APPLICATION FORM

TO BE FILLED IN CAPITAL LETTERS

- Please fill up the form in BLOCK Letters.
- Please write the name of Client as mentioned on the PAN Card/Aadhar Card.
- Please countersign any overwriting.
- If any information required to be given, does not fit in the form, an Annexure may be used.

## 1. APPLICANTS' PHOTOGRAPHS

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

Affix your recent passport size photograph and sign across the same

Affix your recent passport size photograph and sign across the same

Affix your recent passport size photograph and sign across the same

Designation  
(In case of Non-individual)

Nominee

Guardian (In case nominee is a Minor)

Affix your recent passport size photograph and sign across the same

Affix your recent passport size photograph and sign across the same

Place

Date

Designation  
(In case of Non-individual)

## 2. SOLE / FIRST APPLICANT'S DETAILS

Name of First Applicant Mr./Ms. /M/s.

PAN

Residential Status:  Resident  NRI      Nationality:  Indian  Others \_\_\_\_\_



#### 4. MODE OF HOLDING / OPERATIONS

Single       Joint       Anyone or Survivor

If the mode of the holding/operations is joint

#### JOINT HOLDER(S) DETAILS

1. Name of Second Holder( Mr./Ms/M/s.):

Relationship with the Applicant:

Address:

PAN/ Aadhar Card No:

2. Name of Third Holder( Mr./Ms/M/s.):

Relationship with the Applicant:

Address:

PAN/ Aadhar Card No:

#### 4. CONTACT DETAILS

Residence Address (Foreign Address in case of NRI)\* \_\_\_\_\_

City

State

|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|

Pin

Country

Office Address (Foreign Address in case of NRI / FII Applicants) \_\_\_\_\_

City

State

|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|

Pin

Country

Preferred Mailing:     Address     Residence Office

Permanent Address (If Different from above two addresses) \_\_\_\_\_

|                      |                      |                      |                      |
|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| City                 | State                | Pin                  | Country              |

\* P.O. Box Number alone is not sufficient.

**Tel. No.:**

STD Code      Residence      Office      Mobile

Fax      Email

Investment Experience in Securities \_\_\_\_\_

Approximate Time Period for Investment with us:   Years

**6. DEMAT ACCOUNT DETAILS**

Depository Participant

Address:

City  State  Pin

Country  DP ID  Your ID

**7. PORTFOLIO SCHEME OPTED**

- ATLAS DYNAMIC ETF PMS     ATLAS LEADERS PMS     ATLAS PEARLS PMS     ATLAS DEBT EQUITY HYBRID PMS

**8. NOMINATION**

Nominee's Name (Mr. / Mrs. / Master)      Relation

Date of Birth      Guardian Name (In case of Minor Nominee)

Address of Nominee / Guardian

City      State      Pin      Country

**9. DISCLOSURE**

I/We are entitled to classified/privileged price sensitive information in respect of the following listed companies as per SEBI (Insider Trading) Regulations 1992) (Related Companies)

- 1.
- 2.
- 3.
- 4.
- 5.

**10. DECLARATION**

The particulars and various other details furnished above are true to best of my/our knowledge and belief. I/We further agree to inform any additional details that may be required for better & efficient execution & performance of my / our Privilege Account. I / We have received the Disclosure Document Provided by the Portfolio Manager at least 2 days prior to entering into Portfolio Management Agreement. I/ We have read and understood the terms and conditions of the Disclosure Document as well as the Portfolio Management Services Agreement and undertake to abide with the provisions of the same. I / We do not have access to un- published price sensitive information in any Body Corporate apart from those mentioned in the Disclosure( point 9). I / We hereby declare that the amount invested in the same is through legitimate sources/ channel only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, and Regulations. Notifications or Directions of the provisions of Income Tax Act, Prevention of Money laundering Act, Anti-Corruption Act or any other applicable laws enacted by the Government of India or any Statutory Authority from time to time.

**Additional Declaration applicable to NRIs Only:**

I / We hereby confirm that I am / we are Non-Resident of India Nationality / Origin. I / We hereby confirm that the funds for investment have been remitted from abroad through approved banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

**Note:** Please furnish list of any such body corporate in the relevant section provided subsequently, if your statement is affirmative.

Place:

Date

First / Sole Applicant

Second Applicant

Third Applicant

**FEES & CHARGES**

**Fees charged by Atlas Integrated Finance limited to be based on High water mark principle**

**1) FOR ATLAS DYNAMIC ETF PMS****A. EXPENSE STRUCTURE**

| Entry Load | Management Fee (p.a.)  | Exit Load   | Activation Charge | Custody And Transaction Charge | STT, Brokerage and GST |
|------------|--|---|-------------------|--------------------------------|------------------------|
| Nil        | 0.5%<br>( payable quarterly at the beginning of the quarter) | 3% of the redemption amount if redeemed within 1 <sup>st</sup> year.<br>2% of the redemption amount if redeemed within 2 <sup>nd</sup> year | NIL               | As applicable                  | As applicable          |

**B. The SHARE OF PROFIT STRUCTURE**

| Return Generated   | % Profit Sharing |
|--|------------------|
| Initial Return up to 7% p. a. on the Capital Contribution    | NIL              |
| Return in between 7% to 24%p. a. on the Capital Contribution | 20%              |
| Return in excess of 24%p. a. on the Capital Contribution     | 50%              |

**Profit structure is subject to change on a prospective basis with the written consent of the client.**

**2) FOR ATLAS PEARLS PMS****A. EXPENSE STRUCTURE**

| Entry Load | Management Fee (p.a.) | Exit Load   | Activation Charge | Custody And Transaction Charge | STT, Brokerage and GST |
|------------|-----------------------|---|-------------------|--------------------------------|------------------------|
| NIL        | REFER TABLE BELOW     | 3% of the redemption amount if redeemed within 1st year.<br>2% of the redemption amount if redeemed within 2 <sup>nd</sup> year | NIL               | As applicable                  | As applicable          |



| PORTFOLIO VALUE            | MANAGEMENT FEES<br>(Payable quarterly at the beginning of the quarter) |
|----------------------------|--|
| INR 50LACS TO INR 1 CRORE  | 2% PA  |
| INR 1 CRORE TO INR 2 CRORE | 1.5% PA  |
| More than INR 2 CRORE      | 1% PA  |

**B. The SHARE OF PROFIT STRUCTURE-**

| Return Generated   | % Profit Sharing |
|--|------------------|
| Initial Return up to 20% p. a. on the Capital Contribution | NIL              |
| Return in excess of 20%p. a. on the Capital Contribution   | 20%              |

**3) FOR ATLAS LEADERS PMS**

**A. EXPENSE STRUCTURE**

| Entry Load | Management Fee (p.a.) | Exit Load   | Activation Charge | Custody And Transaction Charge | STT, Brokerage and GST |
|------------|-----------------------|---|-------------------|--------------------------------|------------------------|
| Nil        | REFER TABLE BELOW     | 3% of the redemption amount if redeemed within 1st year.<br>2% of the redemption amount if redeemed within 2 <sup>nd</sup> year | NIL               | As applicable                  | As applicable          |

| PORTFOLIO VALUE            | MANAGEMENT FEES<br>(Payable quarterly at the beginning of the quarter) |
|----------------------------|--|
| INR 50LACS TO INR 1 CRORE  | 2% PA  |
| INR 1 CRORE TO INR 2 CRORE | 1.5% PA  |
| More than INR 2 CRORE      | 1% PA  |

**B. The SHARE OF PROFIT STRUCTURE-**

| Return Generated   | % Profit Sharing |
|--|------------------|
| Initial Return up to 20% p. a. on the Capital Contribution | NIL              |
| Return in excess of 20%p. a. on the Capital Contribution   | 20%              |

4) For ATLAS DEBT EQUITY HYBRID PMS.

| Entry Load | Management Fee (p.a.)   | Exit Load   | Activation Charge | Custody And Transaction Charge | STT, Brokerage and GST |
|------------|---|---|-------------------|--------------------------------|------------------------|
| Nil        | 2% on Equity fund.<br>0.15% on Debt fund.<br>( payable quarterly at the beginning of the quarter) | 3% of the redemption amount if redeemed within 1st year.<br>2% of the redemption amount if redeemed within 2 <sup>nd</sup> year | NIL               | As applicable                  | As applicable          |

**SIGNED SEALED AND DELIVERED** by within named Client(s)

Clients to write the below mentioned complete sentence in their own handwriting:  
**"I have understood the fees/charge structure of the mentioned portfolio"**

|           | First Applicant | Second Applicant | Third Applicant |
|-----------|-----------------|------------------|-----------------|
| Name      | <hr/> <hr/>     | <hr/> <hr/>      | <hr/> <hr/>     |
| Pan No.   |                 |                  |                 |
| Signature |                 |                  |                 |

**What is your age? (Not applicable for non-individuals)**

1. 60 years and above
2. 50 to 60 years
3. 40 to 50 years
4. 30 to 40 years
5. 20 to 30 years

**What is your Monthly Income?**

1. Less than Rs. 2 lakhs
2. Rs. 2 lakhs to Rs. 5 lakhs
3. Rs. 5 lakhs to Rs. 25 lakhs
4. More than Rs.25 lakhs


**Do you have liabilities for which you pay EMI?**

1. EMI is more than 70% of my Income.
2. EMI is 50% to 70% of my Income.
3. EMI is 30% to 50% of my Income.
4. EMI is less than 30% of my Income.
5. No liabilities

**How much are you insured?**

1. Not insured
2. 20% of my Liabilities.
3. 20% to 40% of my Liabilities.
4. 40% to 60% of my Liabilities.
5. More than 60% of my Liabilities.

Your score:

 Signature of the Client (First Applicant)

• **DEFINITIONS OF RISK PROFILE** •

**Risk Profile - Very Conservative**

This is suited for investors with very low risk appetite. The portfolio is oriented towards capital protection with minimal risk to principal invested. The portfolio will invest in asset classes with low prevalent risk and allocation of assets would be determined in such a way that in bad market conditions, the risk on principal is minimized

**Risk Profile - Conservative**

This is suited for investors with low risk appetite who are willing to expose a portion of their portfolio to asset classes with higher prevalent risk to generate potential higher returns than the “Very Conservative Portfolio”. This can expose the principal invested to a higher risk than “Very Conservative Portfolio”.

**Risk Profile - Moderate**

This is suited for investors with average risk appetite who

**How much loss can you take in your portfolio per month?**

1. Less than 5%.
2. 5% to 10%.
3. 10%- 20%
4. More than 20%

**What is your return expectation from the portfolio in any market condition?**

1. 8% to 15%.
2. 15% to 25%.
3. 25% to 40%.
4. 40% to 60%
5. More than 60%.

**Do you have**

1. Savings only
2. Insurance & savings
3. Insurance, savings, fixed deposits
4. Insurance, savings, fixed deposits, mutual funds
5. Insurance, savings, fixed deposits, mutual funds & stocks

**Scoring:** If your answer is 1, you score 1 point, if your answer is 2, you score 2 points, & so on & so forth.

|                   |                |                |                |                 |
|-------------------|----------------|----------------|----------------|-----------------|
| ○                 | ○              | ○              | ○              | ○               |
| 7 to 10           | 11 to 14       | 15 to 21       | 22 to 28       | 29 to 35        |
| Risk Profile 1    | Risk Profile 2 | Risk Profile 3 | Risk Profile 4 | Risk Profile 5  |
| Very Conservative | Conservative   | Moderate       | Aggressive     | Very Aggressive |

Signature of the Relationship Manager

are willing to expose a meaningful portion of their portfolio to asset classes with higher prevalent risk to generate potential higher returns than the “Conservative Portfolio”. This can expose the principal invested to a higher risk than “Conservative Portfolio”.

**Risk Profile - Aggressive**

This is suited for investors with high risk appetite who are willing to expose a large portion of their portfolio to asset classes with high prevalent risk to generate potential higher returns than the “Moderate Portfolio”. This can expose the principal invested to a higher risk than “Moderate Portfolio”.

**Risk Profile - Very Aggressive**

This is suited for investors with very high risk appetite who are

willing to expose their portfolio to asset classes with very high prevalent risk to generate potential higher returns than the "Aggressive Portfolio". This can expose the principal invested to a very high risk than "Aggressive Portfolio".

**PLEASE SIGNED SEALED AND DELIVERED** by within named Client(s)

I hereby understand that my risk profile is

Very Conservative     Conservative     Moderate     Aggressive     Very Aggressive

and I have read the disclosure document and I would like to handover to **ATLAS INTEGRATED FINANCE LIMITED** with full understanding of the risks associated. I take complete responsibility and liability of my investment and my investment decision is not influenced by any sales promotion or promise of returns whatsoever.

|           | First Applicant | Second Applicant | Third Applicant |
|-----------|-----------------|------------------|-----------------|
| Name      | <hr/> <hr/>     | <hr/> <hr/>      | <hr/> <hr/>     |
| Signature |                 |                  |                 |

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